

AGILENT TECHNOLOGIES, INC.
Legal Department, DL429
Intellectual Property Administration
P. O. Box 7599
Loveland, Colorado 80537-0599

PATENT APPLICATION

ATTORNEY DOCKET NO. 10980852-1

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Steven M. Lefkowitz et al.

Serial No.:

Examiner:

Filing Date:

Group Art Unit:

Title: Surface With Tethered Polymeric Species For Binding Biomolecules

JC872 U.S. PTO
10/005577
12/03/01

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
() Statement under 37 CFR 1.97(e), or
() a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e), and
() a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of **\$0.00**. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

() Applicant(s) submit herewith Form PTO 1449. All the references identified herein were disclosed in Patent Application No. _____ filed _____, now U. S. Patent No. _____ and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL 727586521 US**

Date of Deposit **12/3/01**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By Orlando Quiroz
Typed Name: **Orlando Quiroz**

Respectfully submitted,

Steven M. Lefkowitz et al.

By Timothy H. Joyce
Timothy H. Joyce
Attorney/Agent for Applicant(s)
Reg. No. **38,197**

Date: **12/3/01**

PATENT APPLICATION

Sheet 1 of 2

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10980852-1

SERIAL NO.

APPLICANT

Steven M. Lefkowitz et al.

FILING DATE

GROUP

J6872 U.S. PRO
10/005577

12/03/01

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME
	1A	4,806,546	Feb. 21, 1998	Carrico et al.
	1B	4,806,631	Feb. 21, 1999	Carrico et al.
	1C	4,925,785	May 15, 1990	Wang et al.
	1D	5,053,326	Oct 1, 1991	Renz
	1E	5,082,935	Jan. 21, 1992	Cruickshank
	1F	5,256,535	Oct. 26, 1993	Ylikoski et al.
	1G	5,514,785	May 7, 1996	Van Ness et al.
	1H	5,622,822	Apr. 22, 1997	Ekeze et al.
	1I	5,624,711	Apr. 29, 1997	Sundberg et al.
	1J	5,667,976	Sep. 16, 1997	Van Ness et al.
	1K	5,712,383	Jan. 27, 1998	Sheridan et al.

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	1L					
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED

PATENT APPLICATION

Sheet 2 of 2

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GROUP

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME
	2A	5,747,244	May 5, 1998	Sheridan et al.
	2B	5,830,539	Nov. 3, 1998	Yan et al.
	2C	5,843,655	Dec. 1, 1998	McGall
	2D	5,919,523	Jul. 6 1999	Sunberg et al.
	2E			
	2F			
	2G			
	2H			
	2I			
	2J			
	2K			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION	
					YES	NO
	2L	0 485 652 A1	May 24, 1991	Cutler et al.	x	
	2M	04-159349	Jan.11, 1994	Akio Matsuhisa et al.		x
	2N	WO 95/04832	Feb. 16 1995	Matsuhisa et al.		x
	2O					
	2P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

EXAMINER

DATE CONSIDERED